

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

IgY Against Dental Caries and Dental Caries - Preventive Combination

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

☐ Yes ☐ No

(Number) (Country) (Day/Month/Year Filed)

I hereby claimed the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number) (Filing Date) (Status-patented, pending, abandoned)

(Application Number) (Filing Date) (Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s), with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Raymond Yat Chiu Chao, Reg. No. 37,484

Address all correspondence to: 1050 Oak Dale Lane, Arcadia, CA 91006, USA

Telephone Calls to: (626) 571-9812

I hereby declare that all Statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Rong Jian YANG

Inventor's signature Rong Jian Yang Date July 8, 2000
Residence Same as below Citizenship Hong Kong, PRC
Post Office Address Rm A6 Fl., Success Commercial Blvd., Hemessy Rd., Wan Chai, Hong Kong

Full name of second joint inventor, if any (given name, family name) Shing PAAU

Second Inventor's signature [Signature] Date July 8, 2000
Residence Same as below Citizenship Hong Kong, PRC
Post Office Address Unit 1205, 12/FI., Sino Plaza, 255 Gloucester Rd., Causeway Bay, Hong Kong

☐ Additional inventors are being named on separately numbered sheets attached hereto.

Applicant or Patentee: _____ Attorney's
Serial or Patent No.: _____ Patent No.: USP1122A-JMG
Filed or Issued: _____
For: _____

BEST AVAILABLE COPY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (b)) -- INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:
IgY Against Dental Caries and Dental Caries-Preventive Combination described in:

☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a non profit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization
☒ person, concern or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Jason Medical Holding, Inc. (USA)
ADDRESS 475 El Camino Real, Suite 218, Millbrae, CA 94030, USA
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

<u>Rong Jian YANG</u> NAME OF INVENTOR	<u>Shing PAAU</u> NAME OF INVENTOR	
<u>Rong Jian Yang</u> Signature of Inventor	<u>[Signature]</u> Signature of Inventor	
Date <u>July 8, 2000</u>	Date <u>July 8, 2000</u>	Date

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rong Jian Yang & Shing Paau

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Jason Medical Holding, Inc. (USA)**

Internal Address: **475 El Camino Real, Suite 218**

Millbrae, CA 94030, USA

Street Address: **same as above**

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es)

☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: **07/08/2000**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **07/08/2000**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David and Raymond Patent Firm**

Internal Address: **108 N. Ynez Ave., Suite 128**

Monterey Park, CA 91754, USA

Street Address: **same as above**

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond Y. Chan

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

3

ASSIGNMENT

WHEREAS, the undersigned (hereinafter Assignor) has made an invention entitled:

IgY Against Dental Caries and Dental Caries-Preventive Combination

(Title of Invention)

for which the undersigned is about to make application for Letters Patent of the United States of America, and which application is attached hereto, in a patent application executed by each of the undersigned on the same date as shown by their respective signature below;

WHEREAS, Jason Medical Holding, Inc. (USA) (hereinafter Assignee), a corporation
(Name of Assignee)

duly organized and existing under the laws of California, USA and having its principle
(state or country)

office and place of business at 475 El Camino Real, Suite 218, Millbrae, CA 94030, USA
(address)

is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$ 1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the above-said Assignor by these presents does sell, assign and transfer unto the said Assignee its successors, assigns, and legal representatives, the full and exclusive right, title and interest to the said invention in the United States of America, as described in the aforesaid application, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue said Letters Patent to said Assignee, for its interest as Assignee, its successors, assigns, and legal representatives.

SIGNATURE: _____

DATE: July 8, 2000

TYPED NAME: Shing PAAU

SIGNATURE: Rong Jian Yang

DATE: July 8, 2000

TYPED NAME: Rong Jian YANG

SIGNATURE: _____

DATE: _____

TYPED NAME: _____

SIGNATURE: _____

DATE: _____

TYPED NAME: _____

Applicant or Patentee: _____ Attorney's
Serial or Patent No.: _____cket No.: USP1122A-JMG
Filed or Issued: _____
For: _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Jason Medical Holdings, Inc. (USA)

Address of Concern: 475 El Camino Real, Suite 218, Millbrae, Ca 94030, USA

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power of control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

IgY Against Dental Caries Bacteria and Detnal Caries-Preventine Combination

described in:

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as a small entities. (37 CFR 1.27)

Full Name:

Address: ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

Full Name:

Address: ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

Name of Person Signing: Shing PAAU

Title of Person Other than Owner: Executive Director

Address of Person Signing: 475 El Camino Real, Suite 218, Millbrae, Ca 94030, USA

Signature

Date

8-7-2000



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 23, 2001

PTAS

DAVID AND RAYMOND PATENT FIRM
RAYMOND Y. CHAN
108 N. YNEZ AVE., SUITE 128
MONTEREY PARK, CA 91754



101524937A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/10/2000

REEL/FRAME: 011253/0725
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YANG, RONG JIAN

DOC DATE: 07/08/2000

ASSIGNOR:

PAAU, SHING

DOC DATE: 07/08/2000

ASSIGNEE:

JASON MEDICAL HOLDING, INC. (USA)
475 EL CAMINO REAL, SUITE 218
MILLBRAE, CALIFORNIA 94030

SERIAL NUMBER: 09684794

FILING DATE: 10/10/2000

PATENT NUMBER:

ISSUE DATE:

SEDLEY-PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-22-2000



101524937

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Y

10-10-00

Tab settings → → → ▼

To the Honorable Commissioner of

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rong Jian Yang & Shing Paau

2. Name and address of receiving party(ies):

Name: Jason Medical Holding, Inc. (USA)

Internal Address: 475 El Camino Real, Suite 218

Millbrae, CA 94030, USA

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: same as above

City: State: ZIP:

Execution Date: 07/08/2000

Additional name(s) & address(es)

☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 07/08/2000

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B. Patent No.(s)

09684794

Additional numbers

☐ Yes ☒ No

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City: State: ZIP:

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7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond Y. Chan

Name of Person Signing

Signature

Date

3

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

WHEREAS, the undersigned (hereinafter Assignor) has made an invention entitled:

IgY Against Dental Caries and Dental Caries-Preventive Combination

(Title of Invention)

for which the undersigned is about to make application for Letters Patent of the United States of America, and which application is attached hereto, in a patent application executed by each of the undersigned on the same date as shown by their respective signature below;

WHEREAS, Jason Medical Holding, Inc. (USA) (hereinafter Assignee), a corporation
(Name of Assignee)

duly organized and existing under the laws of California, USA and having its principle
(state or country)

office and place of business at 475 El Camino Real, Suite 218, Millbrae, CA 94030, USA
(address)

is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$ 1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the above-said Assignor by these presents does sell, assign and transfer unto the said Assignee its successors, assigns, and legal representatives, the full and exclusive right, title and interest to the said invention in the United States of America, as described in the aforesaid application, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue said Letters Patent to said Assignee, for its interest as Assignee, its successors, assigns, and legal representatives.

SIGNATURE: _____

DATE: _____

TYPED NAME: Shing PAAU

SIGNATURE: _____

DATE: _____

TYPED NAME: Rong Jian YANG

SIGNATURE: _____

DATE: _____

TYPED NAME: _____

SIGNATURE: _____

DATE: _____

TYPED NAME: _____